Serial, No.

Docket No. 134081-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	David Bruce Hall et al.		Group Art Unit:

Serial No.: Examiner:

Filed:

For METHOD FOR REMOVAL OF GUANIDINE COMPOUND FROM AQUEOUS MEDIA

"EXPRESS MAIL" MAILING LABEL NUMBER EV 300767816US

INFORMATION DISCLOSURE STATEMENT

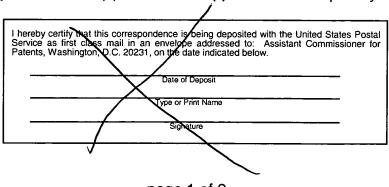
X 1	Pursuant to 37CFR 1.97(b)					
	[within 3 months of national, non-CPA filling or prior to 1st Office Action] no charge					
□ 2	Certification Pursuant to 37 CFR 1.97(c)(1)					
	[before Final Office Action, Allowance, or other action closing prosecution] no charge					
□ 3	Fee Payment Pursuant to 37 CFR 1.97(c)(2) [before Final Office Action, Allowance, or other action closing prosecution] \$180.00					
□ 4	Certification & Fee Payment Pursuant to 37 CFR 1.97(d)					
	[On or before issue fee payment] \$180.00					

ATTN: BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.



page 1 of 2

This paper is subr	nitted in	accordance with:	
⊠ 6	37 CF Action	R 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office	
7		R 1.97(c): [before Final Office Action, Allowance, or other action g prosecution, whichever is earlier]; and	
	□ 8	The required Certification made in item 11 below;	
	<u> </u>	or The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.	
□ 10	37 CF	R 1.97(d): [on or before issue fee payment]; and	
	a)	The required Certification is stated in item 11 below; and	
		The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.	
<u> </u>	Certifi	cation	
	<u> </u>	Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or	
No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.			
X 14	Paym	ent of all applicable fees:	
	X 15	Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868	
An original and tw	o (2) co	pies of this document are enclosed.	
		Respectfully submitted,	
De	cember 20	2003	
	Date		
General Electric Co CRD Patent Docket P.O. Box 8, Bldg. K	Rm 4A5	Registration No. 48,520 Phone: (518) 387-7354 or (518) 387-7122	

Schenectady, New York 12301 Customer Number: 006147

(REV. 7-80)				PATENT A	ENT AND TRADEMARK OFFICE 134081-1			. SERIAL NO.	
INFORM	ATION				BY APPLICANT	Applicate David B	nt ruce Hall et al.		
<u>LIST OF ITE</u>				<u>1010</u>		Filing Date		Group Art Unit	
			PATEN	T APPLIC	CATION PUBLICATION	D N			
*EXAMINER INITIAL	DO	OCUMENT NUMB	ER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	A1	4,040,990		8/9/77	Neely		·	THIRDING	
	A2	4,297,220		10/27/81	Meitzner et al.				
	A3	4,729,834		3/8/88	Itoh et al.				
	A4	4,839,331		6/13/89	Maroldo et al.				
	A5	4,957,897	$\overline{}$	9/18/90	Maroldo et al.				
	A6	5,081,298		1/14/92	Brunelle				
	A7	5,094,754		3/10/92	Maroldo et al.				
	A8	5,104,530		4/14/92	Maroldo et al.				
	A9	5,116,975		5/26/92	Brunelle				
	A10	5,132,423		7/21/92	Brunelle				
-	A11	5,229,482	<u> </u>	7/20/93	Brunelle				
	A12	5,530,052		6/25/96	Takekoshi et al.	. ,			
	A13	5,759,406		6/2/98	Phelps et al.				
	A14	5,830,974		11/3/98	Schmidhauser et al.				
	A15	6,214,235		4/10/01	Silva				
	A16	6,630,568		10/7/03	Johnson et al.				
A STATE OF THE STA		DOCUMENT NUMBER	FOR DATE	EIGN PA	TENT DOCUMENTS COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	B1							123	
	B2				, , , , , =	-			
	В3								
	B4								
		OTHER INFO	RMATIO	N (Includi	ng Author, Title, Date, F	Pertinent Pa	ges, etc.)		
	C1			_	on 1,1,2,3,3-pentasubst ,3-Pentaalkylguanidiner				
	C2	Przemyslaw Pruszynski, "Synthesis and Properties of Phenyl Substituted Derivatives of 2-Phenyl-1,1,3,3- Tetramethylguanidine", Department of Chemistry, Adam Mickiewicz University, 60-780 Poznan, Poland, Can. J. Chem., Volume 65, pp. 626-629, 1987.							
	C3			-	ed December 21, 2001.		V-V-ADAHN.		
·	C4								
EXAMINER	I					DATE C	ONSIDERED		
					ation is in conformance v			through citation if	